The number of direct deposits (VendorLink) as a percentage of all payments increased substantially in fiscal year 2003-04 as a result of a vendor outreach initiative in the Auditor’s office. No increase was seen in 2007-08 due to the courts transitioning to a State accounts payable system.

The number of employees receiving online earnings statements as an initiative start in January of 2005 with the goal of increasing the percentage of employees receiving them to 80%.

The divisions of the Auditor-Controller department are Administration, Auditing, Operations, Financial Reporting and Specialty Accounting Services; within the Operations division is a project-oriented technical team that designs and maintains the County’s financial systems. The Auditor-Controller has a staff of 54 employees with centralized operations in Santa Barbara and a satellite office in Santa Maria. Each division provides certain core services reflected in the performance measures. The Auditor-Controller’s management strategy also includes projects that improve service delivery. This is achieved by focusing on two areas, (1) using technology to improve work processes and to make information more available to the community; and (2) by enhancing the financial accountability and integrity of County government.

The five divisions have the following objectives:

**Administration**
Advise the Board of Supervisors and County management regarding financial matters. Provide leadership and direction to the department. Provide department employees with support, training, tools and facilities.

**Audit**
To assist the management of the County and Special Districts in carrying out their responsibilities by providing professional audit services such as: Attestation services (financial, grant & compliance audits), internal control reviews, performance reviews (effectiveness/efficiency), fraud/loss investigations, consulting, and special projects.

**Operations**
Prepare accurate, complete and timely financial records; operate, maintain and enhance Countywide financial and human resource systems and train County employees to use them efficiently; pay all employees on-time; control disbursements and deposits for accuracy, timeliness and cash management; continuously improve customer service to County departments, agencies, and vendors by utilizing new technologies to enhance process efficiency, accuracy and timeliness; allocate and distribute property taxes to all County agencies within established timelines.

**Financial Reporting**
Provide meaningful and timely financial reports and cost analyses to management, the Board of Supervisors, and the public. Comply with State and Federal reporting requirements and generally accepted accounting principles. Operate, maintain, enhance, and support the County’s budget performance system.

**Specialty Accounting**
To provide accounting and revenue distribution services to County departments, special districts and other agencies by offering and delivering professional assistance in accounting, budgeting, systems, and fiscal advisory services.
Bob Geis  
Auditor Controller  
$7.7M / $4.4M  
FTE: 54.3

Divn 01 – Administration  
Obj: Provides oversight of County fiscal management.

Sub-Divn 01: Office of the Auditor Controller  
Obj: Provides oversight of County fiscal management.

Sub-Divn 01: Financial Accounting  
Obj: Reviews and processes all general ledger and claim transactions, maintains and enhances the Countywide Financial Information Network system.

Sub-Divn 02: Budget Reporting  
Obj: Responsible for the compilation of various financial documents (e.g. CAFR, Single Audit, LGFA) for external reporting purposes.

Sub-Divn 03: Customer Support  
Obj: Provides a customer support help desk that assists accountants from around the County with accounting procedure questions resulting in efficiencies to the FACS division through centralized problem solving.

Sub-Divn 04: Property Taxes  
Obj: Allocates and distributes property taxes to all County agencies.

Divn 02 – Auditing  
Obj: Performs internal control reviews, performance reviews, financial and compliance audits, as well as a variety of special projects. Participates in the County’s financial statement audit under the direction of an independent audit firm.

Sub-Divn 01: Internal Audits  
Obj: Performs internal control reviews, performance reviews, financial and compliance audits, as well as a variety of special projects. Participates in the County's financial statement audit under the direction of an independent audit firm.

Sub-Divn 02: Payroll  
Obj: Ensures that all employees get paid on time, maintain the human resources system, and process wage deductions for taxes, SSI, etc.

Divn 03 – Operations  
Obj: Performs financial audits of independent special districts.

Sub-Divn 02: External Audits  
Obj: Performs financial audits of independent special districts.

Sub-Divn 03: Cost Accounting  
Obj: Responsible for preparing the Cost Allocation Plan, IORPs, fee reviews, and a variety of other cost analyses projects.

Divn 04 – Financial Reporting  
Obj: Responsible for preparing the State Controller’s Budget Schedules as well as maintaining, enhancing, and supporting the Countywide Financial Information Network system.

Sub-Divn 01: Financial Reports  
Obj: Responsible for preparing the compilation of various financial documents (e.g. CAFR, Single Audit, LGFA) for external reporting purposes.

Sub-Divn 02: Budget Reporting  
Obj: Responsible for preparing the State Controller’s Budget Schedules as well as maintaining, enhancing, and supporting the County’s performance-based budget system.

Sub-Divn 03: Cost Accounting  
Obj: Responsible for preparing the Cost Allocation Plan, IORPs, fee reviews, and a variety of other cost analyses projects.
AUDITOR CONTROLLER

Sub-Divn 01 – Administration
Obj: Provides administrative resources and support to all divisions.

Sub-Divn 02 – Administrative Support
Obj: Provides administrative resources and support to all divisions.

Sub-Divn 01: Office of the Auditor Controller
Obj: Provides oversight of County fiscal management.

Sub-Divn 02: Administrative Support
Obj: Provides administrative resources and support to all divisions.

Division: # & Title from CCID
Cost Center Report
Budget/GFC from CCID (Financial Data by Cost Ctr)

Sub-Divn: # & Name from Cost Center Report
Obj: from CCID Inventory
Program: Title from CCID
Number from CCID
Budget/GFC from CCID (Financial Data by Prog)
FTE: CCID

To ensure an efficient and responsive government, the County will maintain the rate of General Liability claims filed to no more than 90 - 100% of the previous year's actual claims filed.

As an efficient and responsive government, the County will maintain the rate of Workers' Compensation claims filed between 90 - 100% of the previous year's actual claims filed.

As an efficient and responsive government, the County will maintain a productive workforce through a departmental Lost Time Rate of 4.9% or less.

As an efficient and responsive government, the County will maintain a quality workforce through completing 95 - 100% of departmental Employee Performance Reviews (EPRs) by the Anniversary Due Date.

Process 100% of an estimated 1,300 vendor claims within 10 days of receipt to establish and maintain effective working relationships with vendors.

Maintain computer network resources so they are available for 97% of the 2,080 business hours.

Respond to all Board of Supervisor's and Management Requests to provide economic and operational analysis within 30 days.

Complete 100% of mandatory audits by legal due date.

Complete 50% of discretionary audits.

Complete 100% of the 10 Special District Audits by the legal due date.

Perform 75% of the audit work as delineated in the 2007-08 Audit Plan.

Provide 100 hours for the Single Audit.

Provide 200 hours for the Comprehensive Annual Financial Statement (CAFR) audit.

Performance Measure Legend
Department-wide Effectiveness Performance Measure
Change to Performance Measure
Performance Measure to Delete
New Performance Measure

Budgets shown in Millions ($M) or Thousands ($K)
Program Budgets are $Total Uses / $Total General Fund Contribution
Sub-Divn 04: Property Taxes
Obj: Allocates and distributes property taxes to all County agencies.

Sub-Divn 03: Customer Support
Obj: Provides a customer support help desk that assists accountants from around the County with accounting procedure questions resulting in efficiencies to the FACS division through centralized problem solving.

Division: # & Title from CCID Cost Center Report
Budget/GFC from CCID (Financial Data by Cost Ctr)

Sub-Divn: # & Name from Cost Center Report
Obj: from CCID Inventory
Program: Title from CCID Number from CCID Budget/GFC from CCID (Financial Data by Prog)
FTE: CCID

Performance Measure Legend
Department-wide Effectiveness Performance Measure
Change to Performance Measure
Performance Measure to Delete
New Performance Measure
Budgets shown in Millions ($M) or Thousands ($K)
Program Budgets are $Total Uses / $Total General Fund Contribution

Division: # & Title from CCID Cost Center Report
Budget/GFC from CCID (Financial Data by Cost Ctr)

Sub-Divn: # & Name from Cost Center Report
Obj: from CCID Inventory
Program: Title from CCID Number from CCID Budget/GFC from CCID (Financial Data by Prog)
FTE: CCID

Make at least 60% of the 150,000 annual disbursements to vendors through direct deposit.
Maintain computer network resources so they are available for 97% of the 2,080 business hours.
Maintain an overall 90% user satisfaction level, based on a customer survey, for significant Countywide applications.

Financial Accounting
Program 1010 $1.2M / $10.6K FTE: 9.3

Financial Accounting – Systems
Program 1011 $1.6M / $1.4M FTE: 9.6

Financial Accounting
Program 1020 $466.1K / $26.2K FTE: 4.0

Financial Accounting – Systems
Program 1021 $179.5K / $179.5K FTE: 1.2

Payroll – Systems Dev
Program 1030 $76.9K / $66.1K FTE: 0.8

Payroll
Program 1040 $619.6K / ($37.8K) FTE: 5.0

Payroll – Systems Dev
Program 1041 $76.2K / ($88.5K) FTE: 0.5

Property Tax
Program 1050 $1.2M / $10.0K FTE: 9.3

Property Tax
Program 1060 $619.6K / ($37.8K) FTE: 5.0

Property Tax – Systems Dev
Program 1070 $76.2K / ($88.5K) FTE: 0.5

Cost Center Performance Plan
Support Services
AUDITOR CONTROLLER

Divn 04 – Financial Reporting
Obj: Responsible for preparing the State Controller’s Budget Schedules as well as maintaining, enhancing, and supporting the county's performance-based budget system.

Sub-Divn 02: Budget Reporting
Obj: Responsible for preparing the Cost Allocation Plan, ICPRs, fee reviews, and a variety of other cost analysis projects.

Sub-Divn 03: Cost Accounting
Obj: To provide professional accounting, budget, fiscal supervisory, and systems services to County departments, special districts, specialized operating funds, and other agencies as requested.

Sub-Divn 01: County Fiscal Services
Obj: Responsible for the compilation of various financial documents (e.g. CAFR, Single Audit, LGFA) for external reporting purposes.

Sub-Divn: # & Name from Cost Center Report
Obj: from CCID Inventory
Program: Title from CCID Number from CCID (Financial Data by Cost Ctr)

Program # & Title from CCID
Cost Center Report
Budget/GFC from CCID
(Financial Data by Cost Ctr)
Sub-Divn: # & Name from Cost Center Report
Obj: from CCID Inventory
Program: Title from CCID Number from CCID (Financial Data by Prog)
FTE: CCID

Performance Measure Legend
Department-wide Effectiveness Performance Measure
Change to Performance Measures
Performance Measure to Delete
New Performance Measure
Budgets shown in Millions ($M) or Thousands ($K)
Program Budgets are $Total Uses / $Total General Fund Contribution

Cost Center Performance Plan

Support Services